# Smallest and Largest Block Palindrome Factorizations 

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#### Abstract

A palindrome is a word that reads the same forwards and backwards. A block palindrome factorization (or BP-factorization) is a factorization of a word into blocks that becomes palindrome if each identical block is replaced by a distinct symbol. We call the number of blocks in a BP-factorization the width of the BP-factorization. The largest $B P$-factorization of a word $w$ is the BP-factorization of $w$ with the maximum width. We study words with certain BP-factorizations. First, we give a recurrence for the number of length- $n$ words with largest BP-factorization of width $t$. Second, we show that the expected width of the largest BP-factorization of a word tends to a constant. Third, we give some results on another extremal variation of BP-factorization, the smallest BP-factorization. A border of a word $w$ is a non-empty word that is both a proper prefix and suffix of $w$. Finally, we conclude by showing a connection between words with a unique border and words whose smallest and largest BP-factorizations coincide.


## 1 Introduction

Let $\Sigma_{k}$ denote the alphabet $\{0,1, \ldots, k-1\}$. The length of a word $w$ is denoted by $|w|$. A border of a word $w$ is a non-empty word that is both a proper prefix and suffix of $w$. A word is said to be bordered if it has a border. Otherwise, the word is said to be unbordered. For example, the French word entente is bordered, and has two borders, namely ente and e.

It is well-known [1] that the number $u_{n}$ of length- $n$ unbordered words over $\Sigma_{k}$ satisfies

$$
u_{n}= \begin{cases}1, & \text { if } n=0  \tag{1}\\ k u_{n-1}-u_{n / 2}, & \text { if } n>0 \text { is even } \\ k u_{n-1}, & \text { if } n \text { is odd }\end{cases}
$$

A palindrome is a word that reads the same forwards as it does backwards. More formally, letting $w^{R}=w_{n} w_{n-1} \cdots w_{1}$ where $w=w_{1} w_{2} \cdots w_{n}$ and all $w_{i}$ are symbols, a palindrome is

[^0]a word $w$ such that $w=w^{R}$. The definition of a palindrome is quite restrictive. The second half of a palindrome is fully determined by the first half. Thus, compared to all length- $n$ words, the number of length- $n$ palindromes is vanishingly small. But many words exhibit palindrome-like structure. For example, take the English word marjoram. It is clearly not a palindrome, but it comes close. Replacing the block jo with a single letter turns the word into a palindrome. In this paper, we consider a generalization of palindromes that incorporates this kind of palindromic structure.

In the 2015 British Olympiad [2], the concept of a block palindrome factorization was first introduced. Let $w$ be a non-empty word. A block palindrome factorization (or BPfactorization) of $w$ is a factorization $w=w_{m} \cdots w_{1} w_{0} w_{1} \cdots w_{m}$ of a word such that $w_{0}$ is a possibly empty word, and every other factor $w_{i}$ is non-empty for all $i$ with $1 \leq i \leq m$. We say that a BP-factorization $w_{m} \cdots w_{1} w_{0} w_{1} \cdots w_{m}$ is of width $t$ where $t=2 m+1$ if $w_{0}$ is nonempty and $t=2 m$ otherwise. In other words, the width of a BP-factorization is the number of non-empty blocks in the factorization. The largest BP-factorization ${ }^{1}$ [3] of a word $w$ is a BP-factorization $w=w_{m} \cdots w_{1} w_{0} w_{1} \cdots w_{m}$ where $m$ is maximized (i.e., where the width of the BP-factorization is maximized). See $[4,5]$ for more on the topic of BP-factorizations and block reversals. Kolpakov and Kucherov [6] studied a special case of BP-factorizations, the gapped palindrome. If $w_{0}$ is non-empty and $\left|w_{i}\right|=1$ for all $i$ with $1 \leq i \leq m$, then $w$ is said to be a gapped palindrome. Régnier [7] studied something similar to BP-factorizations, but in her paper she was concerned with borders of borders. See [8, 9] for results on factoring words into palindromes.

Example 1. We use the centre dot • to denote the separation between blocks in the BPfactorization of a word.

Consider the word abracadabra. It has the following BP-factorizations:

```
        abracadabra,
    abra cad · abra,
    a\cdotbracadabr •a,
    a
a}\cdot\textrm{br}\cdot\textrm{a}\cdot\textrm{cad}\cdot\textrm{a}\cdot\textrm{br}\cdot\textrm{a}
```

The last BP-factorization is of width 7 and has the longest width; thus it is the largest BP-factorization of abracadabra.

Let $w$ be a length- $n$ word. Suppose $w_{m} \cdots w_{1} w_{0} w_{1} \cdots w_{m}$ is the largest BP-factorization of $w$. Goto et al. [3] showed that $w_{i}$ is the shortest border of $w_{i} \cdots w_{1} w_{0} w_{1} \cdots w_{i}$ where $i \geq 1$. This means that we can compute the largest BP-factorization of $w$ by greedily "peeling off" the shortest borders of central factors until you hit an unbordered word or the empty word.

The rest of the paper is structured as follows. In Section 2 we give a recurrence for the number of length- $n$ words with largest BP-factorization of width $t$. In Section 3 we

[^1]show that the expected width of the largest BP-factorization of a length- $n$ word tends to a constant. In Section 4 we consider smallest BP-factorizations in the sense that one "peels off" the longest non-overlapping border. We say a border $u$ of a word $w$ is non-overlapping if $|u| \leq|w| / 2$; otherwise $u$ is overlapping. Finally, in Section 5 we present some results on words with a unique border and show that they are connected to words whose smallest and largest BP-factorizations are the same.

## 2 Counting largest BP-factorizations

In this section, we prove a recurrence for the number $\operatorname{LBP}_{k}(n, t)$ of length- $n$ words over $\Sigma_{k}$ with largest BP-factorization of width $t$. See Table 1 for sample values of $\operatorname{LBP}_{2}(n, t)$ for small $n, t$. For the following theorem, recall the definition of $u_{n}$ from Equation 1.

Theorem 2. Let $n, t \geq 0$, and $k \geq 2$ be integers. Then

$$
\operatorname{LBP}_{k}(n, t)= \begin{cases}\sum_{i=1}^{(n-t) / 2+1} u_{i} \operatorname{LBP}_{k}(n-2 i, t-2), & \text { if } n, t \text { even; } \\ \sum_{i=1}^{(n-t+1) / 2} u_{2 i} \operatorname{LBP}_{k}(n-2 i, t-1), & \text { if } n \text { even, } t \text { odd } ; \\ 0, & \text { if } n \text { odd, } t \text { even } ; \\ \sum_{i=1}^{(n-t) / 2+1} u_{2 i-1} \operatorname{LBP}_{k}(n-2 i+1, t-1), & \text { if } n, t \text { odd }\end{cases}
$$

where

$$
\begin{aligned}
\operatorname{LBP}_{k}(0,0) & =1 \\
\operatorname{LBP}_{k}(2 n, 2) & =u_{n} \\
\operatorname{LBP}_{k}(n, 1) & =u_{n}
\end{aligned}
$$

Proof. Let $w$ be a length- $n$ word whose largest BP-factorization $w_{m} \cdots w_{1} w_{0} w_{1} \cdots w_{m}$ is of width $t$. Clearly $\operatorname{LBP}_{k}(0,0)=1$. We know that each block in a largest BP-factorization is unbordered, since each block is a shortest border of some central factor. This immediately implies $\operatorname{LBP}_{k}(n, 1)=u_{n}$ and $\operatorname{LBP}_{k}(2 n, 2)=u_{n}$.

Now we take care of the other cases.

- Suppose $n, t$ are even. Then by removing both instances of $w_{1}$ from $w$, we get $w^{\prime}=$ $w_{m} \cdots w_{2} w_{2} \cdots w_{m}$, which is a length- $\left(n-2\left|w_{1}\right|\right)$ word whose largest BP-factorization is of width $t-2$. This mapping is clearly reversible, since all blocks in a largest BPfactorization are unbordered, including $w_{1}$. Thus summing over all possible $w_{1}$ and all length- $\left(n-2\left|w_{1}\right|\right)$ words with largest BP-factorization of width $t-2$ we have

$$
\operatorname{LBP}_{k}(n, t)=\sum_{i=1}^{(n-t) / 2+1} u_{i} \operatorname{LBP}_{k}(n-2 i, t-2)
$$

- Suppose $n$ is even and $t$ is odd. Then by removing $w_{0}$ from $w$, we get $w^{\prime}=$ $w_{m} \cdots w_{1} w_{1} \cdots w_{m}$, which is a length- $\left(n-\left|w_{0}\right|\right)$ word whose largest BP-factorization is of width $t-1$. This mapping is reversible for the same reason as in the previous case. The word $w^{\prime}$ is of even length since $\left|w^{\prime}\right|=2\left|w_{1} \cdots w_{m}\right|$. Since $n$ is even and $\left|w^{\prime}\right|$ is even, we must have that $\left|w_{0}\right|$ is even as well. Thus summing over all possible $w_{0}$ and all length- $\left(n-\left|w_{0}\right|\right)$ words with largest BP-factorization of width $t-1$, we have

$$
\operatorname{LBP}_{k}(n, t)=\sum_{i=1}^{(n-t+1) / 2} u_{2 i} \operatorname{LBP}_{k}(n-2 i, t-1)
$$

- Suppose $n$ is odd and $t$ is even. Then the length of $w$ is $2\left|w_{1} \cdots w_{m}\right|$, which is even, a contradiction. Thus $\operatorname{LBP}_{k}(n, t)=0$.
- Suppose $n, t$ are odd. Then by removing $w_{0}$ from $w$, we get $w^{\prime}=w_{m} \cdots w_{1} w_{1} \cdots w_{m}$, which is a length- $\left(n-\left|w_{0}\right|\right)$ word whose largest BP-factorization is of width $t-1$. This mapping is reversible for the same reasons as in the previous cases. Since $n$ is odd and $\left|w^{\prime}\right|$ is even (proved in the previous case), we must have that $\left|w_{0}\right|$ is odd. Thus summing over all possible $w_{0}$ and all length- $\left(n-\left|w_{0}\right|\right)$ words with largest BP-factorization of width $t-1$, we have

$$
\sum_{i=1}^{(n-t) / 2+1} u_{2 i-1} \operatorname{LBP}_{k}(n-2 i+1, t-1)
$$

| $t$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $n$ |  | 284 | 12 | 224 | 40 | 168 | 72 | 96 | 64 | 32 |
| 32 |  |  |  |  |  |  |  |  |  |  |
| 10 | 568 | 0 | 472 | 0 | 416 | 0 | 336 | 0 | 192 | 0 |
| 11 | 1116 | 20 | 856 | 88 | 656 | 176 | 448 | 224 | 224 | 160 |
| 12 | 2232 | 0 | 1752 | 0 | 1488 | 0 | 1248 | 0 | 896 | 0 |
| 13 | 4424 | 40 | 3328 | 176 | 2544 | 432 | 1856 | 640 | 1152 | 640 |
| 15 | 8848 | 0 | 6736 | 0 | 5440 | 0 | 4576 | 0 | 3584 | 0 |
| 16 | 17622 | 74 | 13100 | 372 | 9896 | 984 | 7408 | 1744 | 5088 | 2080 |
| 17 | 35244 | 0 | 26348 | 0 | 20536 | 0 | 16784 | 0 | 13664 | 0 |
| 18 | 70340 | 148 | 51936 | 760 | 38824 | 2248 | 29152 | 4416 | 21088 | 6240 |
| 19 | 140680 | 0 | 104168 | 0 | 79168 | 0 | 62800 | 0 | 51008 | 0 |
| 20 | 281076 | 284 | 206744 | 1592 | 153344 | 4992 | 114688 | 10912 | 84704 | 17312 |

Table 1: Some values of $\operatorname{LBP}_{2}(n, t)$ for $n, t$ where $10 \leq n \leq 20$ and $1 \leq t \leq 10$.

## 3 Expected width of largest BP-factorization

In this section, we show that the expected width $E_{n, k}$ of the largest BP-factorization of a length- $n$ word over $\Sigma_{k}$ is bounded by a constant. From the definition of expected value, it follows that

$$
E_{n, k}=\frac{1}{k^{n}} \sum_{i=1}^{n} i \cdot \operatorname{LBP}_{k}(n, i)
$$

Table 2 shows the behaviour of $\lim _{n \rightarrow \infty} E_{n, k}$ as $k$ increases.
Lemma 3. Let $k \geq 2$ and $n \geq t \geq 1$ be integers. Then

$$
\frac{\operatorname{LBP}_{k}(n, t)}{k^{n}} \leq \frac{1}{k^{t / 2-1}}
$$

Proof. Let $w$ be a length- $n$ word whose largest BP-factorization $w_{m} \cdots w_{1} w_{0} w_{1} \cdots w_{m}$ is of width $t$. Since $w_{i}$ is non-empty for every $1 \leq i \leq m$, we have that $\operatorname{LBP}_{k}(n, t) \leq k^{n-m} \leq$ $k^{n-t / 2+1}$. So

$$
\frac{\operatorname{LBP}_{k}(n, t)}{k^{n}} \leq \frac{1}{k^{t / 2-1}}
$$

for all $n \geq t \geq 1$.
Theorem 4. The limit $E_{k}=\lim _{n \rightarrow \infty} E_{n, k}$ exists for all $k \geq 2$.
Proof. Follows from the definition of $E_{n, k}$, Lemma 3, and the direct comparison test for convergence.

Interpreting $E_{k}$ as a power series in $k^{-1}$, we empirically observe that $E_{k}$ is approximately equal to

$$
1+\frac{2}{k}+\frac{4}{k^{2}}+\frac{6}{k^{3}}+\frac{10}{k^{4}}+\frac{16}{k^{5}}+\frac{24}{k^{6}}+\frac{38}{k^{7}}+\frac{58}{k^{8}}+\frac{88}{k^{9}}+\cdots .
$$

We conjecture the following about $E_{k}$.
Conjecture 5. Let $k \geq 2$. Then

$$
E_{k}=1+\sum_{i=1}^{\infty} a_{i} k^{-i}
$$

where the sequence $\left(a_{n} / 2\right)_{n \geq 1}$ is A274199 in the On-Line Encyclopedia of Integer Sequences (OEIS) [10].

| $k$ | $\approx E_{k}$ |
| :---: | :---: |
| 2 | 6.4686 |
| 3 | 2.5908 |
| 4 | 1.9080 |
| 5 | 1.6314 |
| 6 | 1.4827 |
| 7 | 1.3902 |
| 8 | 1.3272 |
| 9 | 1.2817 |
| 10 | 1.2472 |
| $\vdots$ | $\vdots$ |
| 100 | 1.0204 |

Table 2: Asymptotic expected width of a word's largest BP-factorization.

Cording et al. [11] proved that the expected length of the longest unbordered factor in a word is $\Theta(n)$. Taking this into account, it is not surprising that the expected length of the largest BP-factorization of a word tends to a constant.

## 4 Smallest BP-factorization

A word $w$, seen as a block, clearly satisfies the definition of a BP-factorization. Thus, taken literally, the smallest BP-factorization for all words is of width 1. But this is not very interesting, so we consider a different definition instead. A border $u$ of a word $w$ is non-overlapping if $|u| \leq|w| / 2$; otherwise $u$ is overlapping. We say that the smallest BPfactorization of a word $w$ is a BP-factorization $w=w_{m} \cdots w_{1} w_{0} w_{1} \cdots w_{m}$ where each $w_{i}$ is the longest non-overlapping border of $w_{i} \cdots w_{1} w_{0} w_{1} \cdots w_{i}$, except $w_{0}$, which is either empty or unbordered. For example, going back to Example 1, the smallest BP-factorization of abracadabra is abra cad $\cdot$ abra and the smallest BP-factorization of reappear is $r \cdot e a \cdot p$. p•ea•r.

A natural question to ask is: what is the maximum possible width $f_{k}(n)$ of the smallest BP-factorization of a length- $n$ word? Through empirical observation, we arrive at the following conjectures:

- We have $f_{2}(8 n+i)=6 n+i$ for $i$ with $0 \leq i \leq 5$ and $f_{2}(8 n+6)=f_{2}(8 n+7)=6 n+5$.
- We have $f_{k}(n)=n$ for $k \geq 3$.

To calculate $f_{k}(n)$, two things are needed: an upper bound on $f_{k}(n)$, and words that witness the upper bound.

Theorem 6. Let $l \geq 0$ be an integer. Then $f_{2}(8 l+i)=6 l+i$ for $i$ with $0 \leq i \leq 5$ and $f_{2}(8 l+6)=f_{2}(8 l+7)=6 l+5$.

Proof. Let $n \geq 0$ be an integer. We start by proving lower bounds on $f_{2}(n)$. Suppose $n=8 l$ for some $l \geq 0$. Then the width of the smallest BP-factorization of

$$
(0101)^{l}(1001)^{l}
$$

is $6 l$, so $f_{2}(8 l) \geq 6 l$. To see this, notice that the smallest BP-factorization of 01011001 is $01 \cdot 0 \cdot 1 \cdot 1 \cdot 0 \cdot 01$, and therefore is of width 6 . Suppose $n=8 l+i$ for some $i$ with $1 \leq i \leq 7$. Then one can take $(0101)^{l}(1001)^{l}$ and insert either $0,00,010,0110,01010,010110$, or 0110110 to the middle of the word to get the desired length.

Now we prove upper bounds on $f_{2}(n)$. Let $t \leq n$ be a positive integer. Let $w$ be a length$n$ word whose largest BP-factorization $w_{m} \cdots w_{1} w_{0} w_{1} \cdots w_{m}$ is of width $t$. One can readily verify that $f_{2}(0)=0, f_{2}(1)=1, f_{2}(2)=2, f_{2}(3)=3, f_{2}(4)=4$, and $f_{2}(5)=f_{2}(6)=f_{2}(7)=$ 5 through exhaustive search of all binary words of length $<8$. Suppose $m \geq 4$, so $n \geq t \geq 8$. Then we can write $w=w_{m} w_{m-1} w_{m-2} \cdots w_{m-2} w_{m-1} w_{m}$ where $\left|w_{m-2}\right|,\left|w_{m-1}\right|,\left|w_{m}\right|>0$. It is easy to show that $\left|w_{m-2} w_{m-1} w_{m}\right| \geq 4$ by checking that all binary words of length $<8$ do not admit a smallest BP-factorization of width 6 . In the worst case, we can peel off prefixes and suffixes of length 4 while accounting for the 6 blocks they add to the BP-factorization until we hit the middle core of length $<8$. Thus, we have $f_{2}(8 l+i) \leq 6 l+j$ where $j$ is the width of the smallest BP-factorization of the middle core, which is of length $i$. We have already computed $f_{2}(i)$ for $0 \leq i \leq 7$, so the upper bounds follow.

Theorem 7. Let $n \geq 0$ and $k \geq 3$ be integers. Then $f_{k}(n)=n$.
Proof. Clearly $f_{k}(n) \leq n$. We prove $f_{k}(n) \geq n$. If $n$ is divisible by 6 , then consider the word $(012)^{n / 6}(210)^{n / 6}$. If $n$ is not divisible by 6 , then take $(012)^{\lfloor n / 6\rfloor}(210)^{\lfloor n / 6\rfloor}$ and insert either $0,00,010,0110$, or 01010 in the middle of the word. When calculating the smallest BP-factorization of the resulting words, it is easy to see that at each step we are removing a border of length 1 . Thus, their largest BP-factorization is of width $n$.

## 5 Equal smallest and largest BP-factorizations

Recall back to Example 1, that abracadabra has distinct smallest and largest BP-factorizations, namely abra•cad•abra and $\mathrm{a} \cdot \mathrm{br} \cdot \mathrm{a} \cdot \mathrm{cad} \cdot \mathrm{a} \cdot \mathrm{br} \cdot \mathrm{a}$. However, the word alfalfa has the same smallest and largest BP-factorizations, namely $a \cdot l f \cdot a \cdot l f \cdot a$. Under what conditions are the smallest and largest BP-factorizations of a word the same? Looking at unique borders seems like a good place to start, since the shortest border and longest non-overlapping border coincide when a word has a unique border. However, the converse is not true - just consider the previous example alfalfa. The shortest border and longest non-overlapping border are both a, but a is not a unique border of alfalfa.

In Theorem 8 we characterize all words whose smallest and largest BP-factorization coincide.

Theorem 8. Let $m, m^{\prime} \geq 1$ be integers. Let $w$ be a word with smallest BP-factorization $w_{m^{\prime}}^{\prime} \cdots w_{1}^{\prime} w_{0}^{\prime} w_{1}^{\prime} \cdots w_{m^{\prime}}^{\prime}$ and largest BP-factorization $w_{m} \cdots w_{1} w_{0} w_{1} \cdots w_{m}$. Then $m=m^{\prime}$
and $w_{i}=w_{i}^{\prime}$ for all $i, 0 \leq i \leq m$ if and only if for all $i \neq 2,0<i \leq m$, we have that $w_{i}$ is the unique border of $w_{i} \cdots w_{1} w_{0} w_{1} \cdots w_{i}$ and for $i=2$ we have that either

1. $w_{2}$ is the unique border of $w_{2} w_{1} w_{0} w_{1} w_{2}$, or
2. $w_{2} w_{1} w_{0} w_{1} w_{2}=w_{0} w_{1} w_{0} w_{1} w_{0}$ where $w_{0}$ is the unique border of $w_{0} w_{1} w_{0}$.

Proof.
$\Longrightarrow$ : Let $i$ be an integer such that $0<i \leq m$. Let $u_{i}=w_{i} \cdots w_{1} w_{0} w_{1} \cdots w_{i}$. Since $w_{i}$ is both the shortest border and longest non-overlapping border of $u_{i}$ (i.e., $w_{i}=w_{i}^{\prime}$ ), we have that $u_{i}$ has exactly one border of length $\leq\left|u_{i}\right| / 2$. Thus, either $w_{i}$ is the unique border of $u_{i}$, or $u_{i}$ has a border of length $>\left|u_{i}\right| / 2$. If $w_{i}$ is the unique border of $u_{i}$, then we are done. So suppose that $u_{i}$ has a border of length $>\left|u_{i}\right| / 2$. Let $v_{i}$ be the shortest such border. We have that $w_{i}$ is both a prefix and suffix of $v_{i}$. In fact, $w_{i}$ must be the unique border of $v_{i}$. Otherwise we contradict the minimality of $v_{i}$, or the assumption that $w_{i}$ is both the shortest border and longest non-overlapping border of $u_{i}$. Since $w_{i}$ is unbordered, it cannot overlap itself in $v_{i}$ and $w_{i}$. So we can write $v_{i}=w_{i} y w_{i}$ for some word $y$ where $u_{i}=w_{i} y w_{i} y w_{i}$, or $u_{i}=w_{i} x w_{i} x^{\prime} w_{i} x^{\prime \prime} w_{i}$ such that $y=x w_{i} x^{\prime}=x^{\prime} w_{i} x^{\prime \prime}$. If $u_{i}=w_{i} x w_{i} x^{\prime} w_{i} x^{\prime \prime} w_{i}$, then we see that $w_{i} x^{\prime}$ is a suffix of $y$ and $x^{\prime} w_{i}$ is a prefix of $y$, implying that $w_{i} x^{\prime} w_{i}$ is a new smaller border of $u_{i}$. This either contradicts the assumption that $v_{i}$ is the shortest border of length $>\left|u_{i}\right| / 2$, or the assumption that $u_{i}$ has exactly one border of length $\leq\left|u_{i}\right| / 2$. Thus, we have that $u_{i}=w_{i} y w_{i} y w_{i}$. The shortest border and longest non-overlapping border of $y w_{i} y$ must be $y$, by assumption. Additionally, $w_{i}$ is unbordered, so $u_{i}$ is of width 5 and $i=2$. This implies that $w_{i}=w_{2}=w_{0}$ and $y=w_{1}$.
$\Longleftarrow$ : Let $i$ be an integer such that $0<i \leq m$. We omit the case when $i=0$, since proving $w_{i}=w_{i}^{\prime}$ for all other $i$ is sufficient. Since $w_{i}$ is the unique border of $u_{i}=w_{i} \cdots w_{1} w_{0} w_{1} \cdots w_{i}$, we have that the shortest border and longest non-overlapping border of $u_{i}$ is $w_{i}$. In other words, we have that $w_{i}=w_{i}^{\prime}$. Suppose $i=2$ and $u_{2}=w_{2} w_{1} w_{0} w_{1} w_{2}=w_{0} w_{1} w_{0} w_{1} w_{0}$ where $w_{0}$ is the unique border of $w_{0} w_{1} w_{0}$. Since $w_{0}$ is the unique border of $w_{0} w_{1} w_{0}$, it is also the shortest border of $u_{2}$. Additionally, the next longest border of $u_{2}$ is $w_{0} w_{1} w_{0}$, which is overlapping. So $w_{0}$ is also the longest non-overlapping border of $u_{2}$. Thus $w_{2}=w_{2}^{\prime}$.

Just based on this characterization, finding a recurrence for the number of words with a coinciding smallest and largest BP-factorization seems hard. So we turn to a different, related problem: counting the number of words with a unique border.

### 5.1 Unique borders

Harju and Nowotka [12] counted the number $B_{k}(n)$ of length- $n$ words over $\Sigma_{k}$ with a unique border, and the number $B_{k}(n, t)$ of length- $n$ words over $\Sigma_{k}$ with a length- $t$ unique border. However, through personal communication with the authors, a small error in one of the proofs leading up to their formula for $B_{k}(n, t)$ was discovered. Thus, the formula for $B_{k}(n, t)$ as stated in their paper is incorrect. In this section, we present the correct recurrence for the
number of length- $n$ words with a length- $t$ unique border. We also show that the probability a length- $n$ word has a unique border tends to a constant. See A334600 in the OEIS [10] for the sequence $\left(B_{2}(n)\right)_{n \geq 0}$.

Suppose $w$ is a word with a unique border $u$. Then $u$ must be unbordered, and $|u|$ must not exceed half the length of $w$. If either of these were not true, then $w$ would have more than one border. By combining these ideas, we get Theorem 9 and Theorem 10.

Theorem 9. Let $n>t \geq 1$ be integers. Then the number of length-n words with a unique length-t border satisfies the recurrence

$$
B_{k}(n, t)= \begin{cases}0, & \text { if } n<2 t ; \\ u_{t} k^{n-2 t}-\sum_{i=2 t}^{\lfloor n / 2\rfloor} B_{k}(i, t) k^{n-2 i}, & \text { if } n \geq 2 t \text { and } n+t \text { odd } \\ u_{t} k^{n-2 t}-B_{k}((n+t) / 2, t)-\sum_{i=2 t}^{\lfloor n / 2\rfloor} B_{k}(i, t) k^{n-2 i}, & \text { if } n \geq 2 t \text { and } n+t \text { even }\end{cases}
$$

Proof. Let $w$ be a length- $n$ word with a unique length- $t$ border $u$. Since $u$ is the unique border of $w$, it is unbordered. Thus, we can write $w=u v u$ for some (possibly empty) word $v$. For $n<2 t$, we have that $B_{k}(n, t)=0$ since $u$ is unbordered and thus cannot overlap itself in $w$.

Suppose $n \geq 2 t$. Let $\overline{B_{k}}(n, t)$ denote the number of length- $n$ words that have a length- $t$ unbordered border and have a border of length $>t$. Clearly $B_{k}(n, t)=u_{t} k^{n-2 t}-\overline{B_{k}}(n, t)$. Suppose $w$ has another border $u^{\prime}$ of length $>t$. Furthermore, suppose that there is no other border $u^{\prime \prime}$ with $|u|<\left|u^{\prime \prime}\right|<\left|u^{\prime}\right|$. Then $u$ is the unique border of $u^{\prime}$. Since $u$ is the shortest border, we have $|u| \leq n / 2$. But we could possibly have $\left|u^{\prime}\right|>n / 2$. The only possible way for $\left|u^{\prime}\right|$ to exceed $n / 2$ is if $w=u v^{\prime} u v^{\prime} u$ for some (possibly empty) word $v$. But this is only possible if $n+t$ is even; otherwise we cannot place $u$ in the centre of $w$. When $n+t$ is odd, we compute $\overline{B_{k}}(n, t)$ by summing over all possibilities for $u^{\prime}$ (i.e., $2 t \leq\left|u^{\prime}\right| \leq\lfloor n / 2\rfloor$ ) and the middle part of $w$ (i.e., $v^{\prime \prime}$ where $w=u^{\prime} v^{\prime \prime} u^{\prime}$ ). This gives us the recurrence,

$$
\overline{B_{k}}(n, t)=\sum_{i=2 t}^{\lfloor n / 2\rfloor} B_{k}(i, t) k^{n-2 i}
$$

When $n+t$ is even, we compute $\overline{B_{k}}(n, t)$ in the same fashion, except we also include the case where $\left|u^{\prime}\right|=(n+t) / 2$. This gives us the recurrence,

$$
\overline{B_{k}}(n, t)=B_{k}((n+t) / 2, t)+\sum_{i=2 t}^{\lfloor n / 2\rfloor} B_{k}(i, t) k^{n-2 i} .
$$

Theorem 10. Let $n \geq 2$ be an integer. Then the number of length-n words with a unique border is

$$
B_{k}(n)=\sum_{t=1}^{\lfloor n / 2\rfloor} B_{k}(n, t) .
$$

### 5.2 Limiting values

We show that the probability that a random word of length $n$ has a unique border tends to a constant. Table 3 shows the behaviour of this probability as $k$ increases.

Let $P_{n, k}$ be the probability that a random word of length $n$ has a unique border. Then

$$
P_{n, k}=\frac{B_{k}(n)}{k^{n}}=\frac{1}{k^{n}} \sum_{i=1}^{\lfloor n / 2\rfloor} B_{k}(n, i) .
$$

Lemma 11. Let $k \geq 2$ and $n \geq 2 t \geq 2$ be integers. Then

$$
\frac{B_{k}(n, t)}{k^{n}} \leq \frac{1}{k^{t}}
$$

Proof. Let $w$ be a length- $n$ word. Suppose $w$ has a unique border of length $t$. Since $t \leq n / 2$, we can write $w=u v u$ for some words $u$ and $v$ where $|u|=t$. But this means that $B_{k}(n, t) \leq$ $k^{n-t}$, and the lemma follows.

Theorem 12. Let $k \geq 2$ be an integer. Then the limit $P_{k}=\lim _{n \rightarrow \infty} P_{n, k}$ exists.
Proof. Follows from the definition of $P_{n, k}$, Lemma 11, and the direct comparison test for convergence.

| $k$ | $\approx P_{k}$ |
| :---: | :---: |
| 2 | 0.5155 |
| 3 | 0.3910 |
| 4 | 0.2922 |
| 5 | 0.2302 |
| 6 | 0.1890 |
| 7 | 0.1599 |
| 8 | 0.1384 |
| 9 | 0.1219 |
| 10 | 0.1089 |
| $\vdots$ | $\vdots$ |
| 100 | 0.0101 |

Table 3: Probability that a word has a unique border.

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[^1]:    ${ }^{1}$ Largest BP-factorizations also appear in https://www.reddit.com/r/math/comments/ga2iyo/i_ just_defined_the_palindromity_function_on/.

